MARQUETTE UNIVERSITY
COLLEGE OF BUSINESS ADMINISTRATION PRESENTS

SUSTAINABILITY 2.0

OCTOBER 26-27, 2022
MONAGHAN BALLROOM, ALUMNI MEMORIAL UNION

ABOUT THE CONFERENCE

In 2019, Marquette Business held its first ever Responsible Investment Symposium, a successful event that brought together experts on sustainable investment practices from across the country. Since that time, more companies and organizations have adopted ESG and sustainability standards, creating an emerging paradigm for responsible and ethical business practice moving into the future.

This fall Marquette Business is excited to present the Marquette Sustainability 2.0 Conference. Expanded in size and scope, this conference brings together experts from across the country to discuss this new era of sustainability, featuring top thought leaders from academia and non-profit organizations, as well as chief sustainability executives from firms across a variety of industries.

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JOINT KEYNOTE SPEAKERS

Wednesday, October 26, 2022

Monaghan Ballroom, Alumni Memorial Union (AMU) | Marquette University

Mr. Dan Pickering

Founder and Chief Information Office (CIO)
Pickering Energy Partners

Dan Pickering is the Chief Investment Officer of Pickering Energy Partners (PEP). PEP manages client assets via energy strategies focused primarily on public markets and private equity. Prior to PEP, Mr. Pickering served as the President of Tudor, Pickering, Holt & Co. and Chief Investment Officer of TPH Investment Management.

Dan has spent 26 years as an energy portfolio manager, researcher and analyst, first at Fidelity Investments (where he managed ~$1 billion of energy sector funds), then as Head of Research at Simmons & Company and as the founding partner of Tudor, Pickering, Holt & Co.

Mr. Brent Ridge

President and Chief Executive Officer (CEO)
Dairyland Power Cooperative

Prior to Dairyland, Ridge served as Vice President, Corporate Services, and Chief Financial Officer and Treasurer at Energy Northwest (Richland, Wash.). His responsibilities included finance, treasury, enterprise risk management, asset management, human resources, supply chain, information services and energy services and development. He also served as Vice Chairman of the Corporate Nuclear Safety Review Board for Columbia Generating Station. Earlier in his career at Energy Northwest, Ridge served as Asset Manager, Controller and Chief Risk Officer. He was also the manager of Construction and Maintenance Services, responsible for power plant modifications, outage and online major maintenance, facilities and commercial engineering.

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SCHEDULE OF EVENTS

Wednesday, October 26, 2022

Monaghan Ballroom, Alumni Memorial Union (AMU) | Marquette University

Time	Topic	Location
11:00 AM	Registration Opens	AMU Lynch Lounge
12:00 PM	Welcome *Dr. Michael Lovell, President, Marquette University*	AMU Ballrooms ABE
12:00 PM	Opening Remarks & Joint Keynote Speakers Introduction *Christopher K. Merker, Ph.D., CFA*	AMU Ballrooms ABE
12:15 - 1:10 PM	Luncheon Joint Keynote Speakers *Mr. Dan Pickering, Founder and CIO, Pickering Energy Partners* *Mr. Brent Ridge, President and CEO, Dairyland Power* Moderator: Christine Robinson, Deloitte	AMU Ballrooms ABE
1:15 - 2:10 PM	SEC Climate Disclosure Rules Panel Moderator: Christopher K. Merker, Ph.D., CFA	AMU Ballrooms ABE
2:30 - 3:25 PM	Energy Policy & Practice Panel Moderator: Erin Stanek, Baird	AMU Ballrooms ABE
3:45 - 4:40 PM	Water Policy & Practice Panel Moderator: Karen Frost, The Water Council	AMU Ballrooms ABE
5:00 - 6:00 PM	Reception	AMU Lynch Lounge

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SCHEDULE OF EVENTS

Thursday, October 27, 2022

Monaghan Ballroom, Alumni Memorial Union | Marquette University

Time	Topic	Location
7:30 AM	Breakfast and Registration	AMU Lynch Lounge
8:25 AM	Welcome *Christopher K. Merker, Ph.D., CFA*	AMU Ballrooms ABE
8:30 - 9:30 AM	Diversity, Equity, and Inclusion (DEI) Policy and Practice Panel Moderator: Chuck Adams, LCW	AMU Ballrooms ABE
9:45 - 10:45 AM	ESG Investing Panel Moderator: Nick Kummer, President, CFA Society Milwaukee	AMU Ballrooms ABE
	Impact and Real Assets Panel Moderator: Dan Renouard, Marquette University	AMU Ballrooms CD
11:00 - 11:15 AM	Closing and Thank You *Christopher K. Merker, Ph.D., CFA*	AMU Ballrooms ABE
11:15 AM - 12:15 PM	Networking and Boxed Lunches	AMU Lynch Lounge

A NOTE FOR VIRTUAL PARTICIPANTS

Those participating in the conference virtually will have received a message containing three separate Zoom meeting links: one for the General Session (Keynote; Standards Certification and Accountability Panel; and Issuer Panel), one for the Asset Owner and Engagement Panel, and one for the Intermediary Panel. **Please use these links to access the topics at the appropriate times.**

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PANEL LISTINGS

New SEC Climate Disclosure Rules Panel

Wednesday, October 26, 2022
1:15 - 2:10 PM
AMU Ballrooms ABE

Introduction:	**Matt Franklin** FACTSET
Moderator:	**Christopher K. Merker, Ph.D., CFA** Marquette University
Panelists:	**Neil Stewart**, ISSB **Lisa Mautz**, KPMG **Aaron Sikora**, PwC **Mike Wallace**, Persefoni

Energy Policy and Practice Panel

Wednesday, October 26, 2022
2:30 - 3:25 PM
AMU Ballrooms ABE

Introduction:	**Leo Harmon** Mesirow Financial
Moderator:	**Erin Stanek** Baird
Panelists:	**Xia Liu**, Wisconsin Energy Corporation **Art Harrington,** Godfrey & Kahn **Adam Muellerweiss,** Clarios

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PANEL LISTINGS

Water Policy and Practice Panel

Wednesday, October 26, 2022
2:30 - 3:25 PM
AMU Ballrooms ABE

Introduction:	**Craig Jonas** Co-Peace
Moderator:	**Karen Frost** The Water Council
Panelists:	**Dean Amhaus,** The Water Council **Noelle Brigham,** A.O. Smith **Mark Binsfeld,** Brennan Marine

Diversity, Equity, and Inclusion (DEI) Panel

Thursday, October 27, 2022
8:30 - 9:30 AM
AMU Ballrooms ABE

Introduction:	**Phil Gaechter** Nuveen
Moderator:	**Chuck Adams** LCW
Panelists:	**Maura Packham,** Quad **Jennica Webster,** Marquette University **Gomati Madaiah,** EY **Aliah Berman,** TBWA/North America

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PANEL LISTINGS

Impact and Real Assets Panel

Thursday, October 27, 2022
9:45 - 10:45 AM
AMU Ballrooms ABE

Introduction:	**John Mueller** Dana Investment
Moderator:	**Dan Renouard** Marquette University
Panelists:	**Alex Spotswood,** Bailard **Dan Connell,** Commonfund **MacKenzie Davis,** SailingStone Capital **Mike Underhill,** Capital Innovations

ESG Investing Panel

Thursday, October 27, 2022
9:45 - 10:45 AM
AMU Ballrooms CD

Introduction:	**Marston Fries** Invesco
Moderator:	**Nick Kummer** President, CFA Society Milwaukee
Panelists:	**Bob Smith,** SAGE Advisory **Glen Yelton,** Invesco **Steve Liberatore,** Nuveen

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PANELIST & SPEAKER BIOGRAPHIES

Chuck Adams

Co-CEO & Managing Principal, LCW

Chuck is an accomplished and adaptive leader with over 20 years of proven experience leading transformation in organizations, ecosystems, and teams. He brings broad global leadership experience in Culture and Inclusion, Learning and Development, Talent Acquisition, HR Operations, and Legal Compliance to LCW. Chuck also leverages strong interpersonal and communication skills to build authentic and productive relationships with clients. His experiences are informed by a career spent in business both as a consumer of Diversity and Inclusion (D&I) services and as a practitioner of internal and external D&I interventions. He also has deep experience de-biasing talent systems and processes throughout the talent lifecycle. Chuck is known as a calm and thoughtful problem solver.

Prior to joining LCW, Chuck successfully led global teams in Talent Development, Talent Acquisition, HR Operations, and Legal and Compliance at Aon, Hewitt Associates, and Tellabs. At Hewitt he was also responsible for designing and delivering D&I programs for external clients like McKesson, Baxter, Boston Scientific, and others. Chuck has designed and delivered programs domestically and across Canada, Germany, India, Poland, and the UK. Chuck has 20 years' experience in community, education, and corporate Diversity and Inclusion programs. He has a B.A. in American History and a M.S. in Instructional Technology and Communications from Western Illinois University.

Dean Amhaus

Dean Amhaus has served as the president and CEO of The Water Council since March 2010. His diverse, forty-year background in multiple sectors encapsulates a wide range of expertise in government relations, branding, fundraising, economic development and non-profit management in Washington, D.C. and Madison, Wisconsin. Dean has also served as the president of the Spirit of Milwaukee, a non-profit organization dedicated to enhancing Milwaukee's image, as well as the president of Forward Wisconsin, the state's marketing economic development organization, and executive director of the Wisconsin Sesquicentennial Commission and Wisconsin Arts Board. Dean began his career working in Washington, DC for a firm that advocated for federal policy issues for the arts, humanities, and people with disabilities.

Aliah Berman

Aliah Berman is the Chief Diversity Officer of TBWA\North America. She's responsible for leading the vision, strategy and execution of TBWA's diversity, equity, and inclusion efforts across the collective. Throughout her career, Aliah has demonstrated a passion for and successful track record of driving growth and positive change in her teams and organizations. She has a strong commitment to leveraging diversity as an advantage, addressing specific challenges facing underrepresented groups and ensuring equity in opportunity for all individuals.

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Aliah serves on the board for TEMPO Milwaukee, an organization of executive women leaders who serve its membership and community by empowering women to achieve and sustain leadership roles. In her role on the board, she co-chairs TEMPO's Diversity & Inclusion committee. She also serves on the advisory board for Marquette University's Institute for Women's Leadership, an organization that works to advance women's leadership locally and globally through pioneering research, innovative programming, and collaborative engagement. Aliah is a graduate of Xavier University and holds a J.D. from Marquette University Law School.

Mark Binsfeld

Mark Binsfeld is the Vice President of Business Development at J.F. Brennan Company (Brennan), headquartered in La Crosse, WI. Brennan is a marine contracting company that provides above and below-water construction, transportation, and remediation services throughout the inland and coastal waterways of the United States. With several locations across the country, Brennan employs over 600 people, of which nearly 100 are certified commercial divers. Mark is part of the 4th generation of ownership at Brennan, which recently celebrated its 100th year in business. He has a Mechanical Engineering degree from the University of Colorado at Boulder and a Master in Business Administration from Boston College.

Noelle Brigham, P.E., ENV SP*

Noelle is a licensed Professional Engineer with over 25 years of experience in Sustainability and Environmental Engineering in consumer products, manufacturing, and consulting industries. She is currently the Director of ESG for the A. O. Smith Corporation where she is leading all aspects of ESG across the company, including global strategy development and implementation. In her sustainability role at S. C. Johnson & Son, Inc., Noelle created global strategies and led international implementation plans for research, development and engineering for consumer product formulation and packaging. As an Instructor, Professor of Practice in the Opus College of Engineering at Marquette University, she developed a new sustainability management graduate program. She received her master's in environmental engineering and Bachelor of Science in civil and environmental engineering from Marquette University. She is a member of the National Council of Examiners for Engineering and Surveying (NCEES) and Fundamentals of Engineering (FE) Exam Development committee member, in addition to participating in the Wisconsin Department of Natural Resources air management study group.

Dan Connell

Dan Connell is a member of the Real Assets and Sustainability team for Commonfund Capital. Prior to joining Commonfund Capital, he worked as a principal investor on energy infrastructure with GE Capital's Energy Financial Services, where he focused on both project finance debt and international equity. Before his time with GE Capital, Dan worked in commercial development for Atlantic Power

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and served as an Intelligence Officer in the US Navy. He has a B.A. from Seton Hall University, an M.P.A. from Harvard's Kennedy School of Government and an M.B.A. from MIT's Sloan School of Management.

MacKenzie Davis

MacKenzie Davis is a Managing Director of SailingStone Capital Partners, where he is an Investment Analyst and Portfolio Manager. Prior to founding SailingStone in 2014, he led the RS Investments Value Team, sat on the Management Committee and was co-manager of the RS Global Natural Resource strategies. Previously, he was a High Yield Analyst at Fidelity Management & Research Company, where he focused on distressed investment opportunities in the telecommunications, power and energy sectors. He started his career as an Analyst at Goldman Sachs. He holds AB's in Mathematical Economics and Modern American History from Brown University and is a CFA charterholder. In addition, MacKenzie serves on the board of the Maine Coast Heritage Trust

Karen Frost

VP Economic Development & Innovation

Karen is responsible for economic development activities and innovation programs for The Water Council. She leads the organization's international efforts and works closely with the organization's European representative to serve partners and companies in Europe and beyond. Karen managed the program development, implementation and oversight of The Water Council's U.S. Small Business Administration Regional Innovation Cluster Contract from 2015-2020. She is an experienced connector and strong relationship builder and collaborator with the small business community, project partners and funders.

Art Harrington

Art Harrington practices environmental and energy law at the Wisconsin law firm of Godfrey & Kahn. He also serves as an adjunct professor in the Marquette Law and Engineering Schools where he teaches renewable energy courses. He serves on the WAVE committee, a Wisconsin Department of Transportation committee that provides advice on policies to implement autonomous vehicles on Wisconsin's roadways. Wisconsin Department of Transportation Wisconsin Automated Vehicle External Advisory Committee (wisconsindot.gov) He also serves on the Board for WiACES, a Wisconsin trade association that promotes education on good policies for EVs, AVs and shared mobility. WI ACES Home - Wisconsin ACES.

Nicholas L. Kummer, CFA, CAIA, CIMA

Nicholas L. Kummer, CFA, CAIA, CIMA, is a director on the Intermediary Services team at Artisan Partners with responsibility for third-party relationships with institutions and financial professionals that offer Artisan Funds in the Midwest region. Prior to joining Artisan Partners in February 2010, Mr.

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Kummer was a client service manager, financial consultant and internal wholesaler at Wells Fargo Funds Management. Before that, he was a client service specialist at Strong Capital Management. Mr. Kummer holds a bachelor's degree in finance, information technology and international business and a master's degree in business administration from Marquette University.

Stephen M. Liberatore, CFA

Managing Director/Head of ESG/Impact – Global Fixed Income, Nuveen
Steve is the lead portfolio manager for Nuveen's fixed income strategies that incorporate Environmental, Social and Governance (ESG) criteria and Impact investments, including those that comprise our Core Impact Bond, Short Duration Impact Bond and Green Bond composites. He is also a member of the Investment Committee.

Steve served on the ICMA Green Bond Principles Advisory Council and was a member of the initial executive committee. He is a member of the UN Capital Development Fund's working group on Climate Insurance Linked Resilient Infrastructure Finance and serves on the UN's Joint Sustainable Development Goals Fund's Blue Economy Investor Advisory Group. He also serves on S&P's Global Ratings ESG Leadership Council, the Steering Committee of the Orange Bond Principals, Fannie Mae's Green Rental Housing Task Force and Sustainalytics' Investor Committee. He is a frequent speaker at conferences and a subject matter expert across media outlets, such as Bloomberg, CNBC, The Economist and The Wall Street Journal. He and his team were awarded "Investor of the Year" at Environmental Finance's (EF) 2020 Bond Awards and Nuveen's Global Fixed Income Impact Report won "Impact Report of the Year (for Investors)" at EF's 2022 Bond Awards. Before joining the firm in 2004, he held roles at Nationwide Mutual Insurance and Protective Life.
Steve graduated with a B.S. from the State University of New York at Buffalo and an M.B.A. in Finance and Operations from Wake Forest University. He holds the CFA designation and is a member of the CFA Society of North Carolina and the CFA Institute.

Xia Liu

Executive Vice President and Chief Financial Officer, Office of the Chair
Xia Liu began her role as executive vice president and chief financial officer and member of the Office of the Chair in June 2020. In this role, Liu has overall responsibility for the company's strategic and long-range financial planning, corporate forecasting and budgeting, treasury, accounting, tax, insurance, risk management, and investor relations functions.

Liu joined WEC Energy Group from CenterPoint Energy, where she served as executive vice president and chief financial officer since 2019. Previously, she spent 21 years with Southern Co. and its subsidiaries across three states in a dozen roles including executive vice president, chief financial officer and treasurer of Georgia Power, overseeing the financial matters for Southern Co.'s largest

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subsidiary. She also served as chief financial officer and treasurer for Gulf Power Co. in Pensacola, Florida. Before that, she was senior vice president of finance and treasurer for Southern Co., heading corporate development and strategy, corporate planning and financial analysis, treasury, enterprise risk management, pension, and insurance management functions. Liu earned bachelor's and master's degrees in finance from Renmin University of China and a master's degree in business administration from Emory University. She also completed two years of study in the Ph.D. in Economics program at Emory University. She is a Chartered Financial Analyst (CFA), an International Women's Forum Leadership Foundation fellow and a graduate of Leadership Atlanta, and has completed executive programs at Harvard University and INSEAD Business School in France.

Liu is on the board of directors and audit committee of Badger Meter Inc., a publicly traded global provider of industry-leading water solutions. In addition, she is chair of the finance and audit committee on the board of directors for Pact, a nonprofit international development organization that works to improve the lives of those challenged by poverty. Throughout her career, she has served as a director on numerous nonprofit boards, including Florida TaxWatch, Gulf Coast Health Systems, Georgia Council on Economic Education, Public Broadcasting Atlanta, Atlanta Symphony Orchestra and Pensacola Symphony Orchestra. Liu has received multiple honors and awards, including — most recently — recognition as one of the Top 100 Women in Energy at the 2022 Energy Inclusion Conference. She also has received a 2020 Top 50 Women in Finance Award by the National Diversity Council, is a 2019 Go Beyond 100 Alumni of Goizueta Business School at Emory University, and was named a 2018 Powerful Woman of the Year by Powerful Women of the Gulf Coast.

Dr. Michael R. Lovell

President, Marquette University
Dr. Michael R. Lovell is Marquette University's 24th president, serving since 2014. Under his guidance, Marquette focuses on innovation, entrepreneurship, and community renewal and development — all consistent with the university's Catholic, Jesuit mission.

President Lovell and his leadership team are navigating Marquette through a pivotal time for higher education. Serving as a foundation for Marquette's future is its strategic plan, Beyond Boundaries, the implementation of which is being led by President Lovell. The university has transformed the Strategic Innovation Fund, unveiled by President Lovell in 2014, into the two-track Marquette Impact Challenge. It continues to cultivate and fund innovative ideas from students, faculty and staff with a desire to involve community organizations. It has further expanded the President's Challenge track to encourage community-focused responses to timely, pressing issues.

Working with business and community leaders in Marquette's neighborhood, President Lovell helped create the Near West Side Partners, a nonprofit focused on strengthening economic development,

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housing, neighborhood identity and safety. Together with his wife, Amy, President Lovell co-founded Scaling Wellness in Milwaukee (SWIM), a community-wide effort addressing the impacts of generational trauma. He also played a key role in launching several other important initiatives in Milwaukee, including the Midwest Energy Research Consortium, The Commons, Scale-Up Milwaukee, The Water Council and the Northwestern Mutual Data Science Institute.
Presently, President Lovell serves on the boards of Children's Wisconsin, Milwaukee Film, the Greater Milwaukee Committee, the Association of Jesuit Colleges and Universities, the Fund for Wisconsin Scholars and the Big East Conference. He is a member of the executive committees of The Water Council and the Higher Education Regional Alliance. President Lovell serves as chair of the board for the Wisconsin Association of Independent Colleges and Universities. He is also a member of the Council on Competitiveness and co-chairs its University Leadership Forum.

Prior to Marquette, President Lovell served the University of Wisconsin–Milwaukee as chancellor and, earlier, dean of its engineering college. He previously held academic and research leadership positions at the University of Pittsburgh and University of Kentucky.

President Lovell holds three mechanical engineering degrees including a doctorate from the University of Pittsburgh. He has received awards from the National Science Foundation, Society of Manufacturing Engineers (SME) and numerous other organizations; is a fellow of the American Society of Mechanical Engineers and National Academy of Inventors; and holds U.S. and global patents.

Lisa Mautz

Lisa Mautz is a managing director in KPMG's Accounting Advisory Services group within the Deal Advisory & Strategy Practice. Lisa commenced work with KPMG in the Milwaukee audit practice in 2000 and joined the Accounting Advisory Services group in 2021. She has served both global public companies and private companies primarily in the industrial manufacturing and consumer & retail industries. Lisa's experience includes accounting and financial reporting under U.S. GAAP and IFRS, SEC reporting, and SOX 404 internal control and financial statement audits. Lisa is also a member of KPMG's Accounting Advisory Services Center of Excellence for Environmental, Social and Governance (ESG) topics working with clients on ESG reporting strategy and solutions. Professional and industry experience. Lisa has extensive knowledge regarding accounting and reporting issues unique to the industries she serves. She has experience related to mergers and acquisitions, purchase accounting, carve-out transactions, divestures, debt issuance transactions, SOX implementation, GAAP conversion and restatements. Throughout her career, she has worked with her clients on the adoption and application of new accounting standards (e.g. revenue recognition, lease accounting, CECL). Lisa has been involved in several significant carve-out projects for global public companies in recent years. Each of these projects required consideration of a

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variety of financial reporting and accounting issues unique to carve-out audits. Her experience includes evaluation and analysis of management's carve-out basis of presentation, methodology over commingled legal entities, shared assets and liabilities, allocations of shared services and general corporate costs, and review of carve-out financial reporting. Lisa was a key member of the lead team for a carve-out and IPO preparation project for a $19 billion global medical technology and life sciences company. Her role included coordination and supervision of the project and review of the carve-out methodology, carve-out financial statements, and the SEC S-1 filing. Lisa currently serves as the KPMG Milwaukee Office Culture Leader, serves as a recruiter for the University of Wisconsin-Madison, has been an instructor for a number of KPMG training courses, and served as a facilitator for KPMG's Audit 90 leadership development program. She has also served as an audit quality reviewer at a national level, both internally and as part of the external peer review program reviewing other Big Four firms. For the past 13 years, Lisa has served in a number of roles on the Board of Directors of the Food Pantry of Waukesha County including serving a term as board chairperson and several terms as treasurer.

Christopher K. Merker, PhD, CFA*

Christopher K. Merker, PhD, CFA, is the part-time director of the sustainable finance and business program at Marquette University and a director with Private Asset Management at Baird. His doctoral research is in the field of corporate governance. He is a member of the CFA Institute's ESG Advisory Panel, publishes the blog, Sustainable Finance, and is co-author of the book, The Trustee Governance Guide: The Five Imperatives of 21st Century Investing. His current research emphasis is on market-based solutions and public/private partnerships for addressing global sustainability challenges.

John Mueller*

John is currently VP of Marketing and shareholder at Dana Investment Advisors since 2011. Dana has been managing ESG strategies for clients since 2000 and John has served as a member Seventh Generation Interfaith Coalition for Responsible Investment (SGI-CRI) a regional investor network of Interfaith Center on Corporate Responsibility (ICCR). John helped Dana, rebrand and launch Dana Epiphany ESG Funds, a fund lineup for faith-based investors, in 2019. He is also an adjunct Instructor of Practice at Marquette University.

Adam Muellerweiss

Chief Sustainability Officer, Clarios

Chief Sustainability Officer at Clarios, a world leader in next-generation battery technologies. I am responsible for sustainability strategy, government and environmental affairs with a goal of optimizing sustainable product design within our business and growing end-of-life reuse and recycling through our strategic partnerships and expertise.

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Maura Packham

Maura Packham is Vice President of Corporate Communications for Quad (NYSE: QUAD). In this role, she is responsible for developing and executing a comprehensive internal and external communications strategy that amplifies Quad's employer brand and emphasizes the company's mission, vision and values to the various stakeholders of the company. This includes developing recruiting marketing efforts to attract qualified talent; employee communications to foster employee retention and reinforce the connection Quad employees have with the company; and internal campaigns to encourage employee engagement. Maura's team also provides communications to support the company's senior management and Investor Relations teams, and directs public relations with national and local business/trade news media to promote brand awareness – specifically in the communities where Quad has manufacturing facilities.

Maura began her career in the printing industry in 1995 with Quebecor Printing, a predecessor of Worldcolor. As Director of Marketing & Strategic Planning she was promoted to Vice President of Marketing for Worldcolor's Marketing Solutions Group in 2003. When Quad acquired the Montreal-based printer in 2010 Maura was named Vice President of Marketing and Communications at Quad and was responsible for the development and execution of the company's marketing and corporate communications strategy. Maura earned her MBA at Suffolk University in Boston and her bachelor's degree in accounting from the University of Massachusetts Boston. She serves on the Milwaukee Symphony Orchestra's Board of Directors.

Dan Pickering

Dan Pickering is the Chief Investment Officer of Pickering Energy Partners (PEP). PEP is an energy financial services company spanning traditional energy and the energy transition via business units focused on Investments, Insights, Consulting and Advisory. He has spent the past 28 years as an energy portfolio manager, researcher and analyst, first at Fidelity Investments, then Simmons & Company and as the founding partner of Tudor, Pickering, Holt & Co. Dan currently serves as the Portfolio Manager of the PEP Energy Equity Opportunities Fund and on the Investment Committee of PEP's energy private equity strategies. Dan is the Board Chair of MERGE Electric Fleet Solutions and sits on the Board of Trustees for Texas Children's Hospital, the Texas Children's Hospital Foundation and the Posse Foundation, as well as the Advisory Board of Tudor, Pickering, Holt & Co., Capital Creek Partners, Midway Companies, the Houston CFA Society and Dynamo Energy Hub. He holds a BS in Petroleum Engineering from the Missouri School of Science and Technology and an MBA from the University of Chicago.

Dan Renouard

Dan Renouard is Baird's Managing Director of Strategic Initiatives. He has been with Baird since 1998 in several senior roles, including several years as Co-Head of Global Equities, Head of Global Equity

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Trading, Director of Institutional Equity Sales, Associate Director of Research, Head of Technology Research and Senior Equity Research Analyst, covering Computer Hardware and Software. Prior to joining Baird, Dan spent four years at Continental Airlines, where he held a variety of management positions, including director of strategic planning. He is a member of the board of the Milwaukee Tech Hub Coalition and Teach For America – Milwaukee, as well as past president of the board of the Milwaukee Public Library Foundation. He sits on the Investment Advisory committee of NVNG Venture fund and chairs the Advisory Board of Character Venture Capital. In addition, Dan is an adjunct professor at Marquette University (Applied Venture Capital) and the University of Wisconsin – Milwaukee (International Investments). He earned a BS in mechanical engineering and an MBA, with a concentration in finance, from the University of Notre Dame.

Brent Ridge

Brent Ridge joined Dairyland Power Cooperative as President and CEO on July 13, 2020. Prior to Dairyland, Ridge served as Vice President, Corporate Services, and Chief Financial Officer and Treasurer at Energy Northwest (Richland, Wash.). His responsibilities included finance, treasury, enterprise risk management, asset management, human resources, supply chain, information services and energy services and development. He also served as Vice Chairman of the Corporate Nuclear Safety Review Board for Columbia Generating Station. Earlier in his career at Energy Northwest, Ridge served as Asset Manager, Controller and Chief Risk Officer. He was also the manager of Construction and Maintenance Services, responsible for power plant modifications, outage and online major maintenance, facilities and commercial engineering. Ridge earned a bachelor's degree in Civil Engineering from the University of Idaho and an MBA from Regis University (Denver, Colo.). He also completed the Reactor Technology Course for Utility Executives at MIT, the Utility Executive Course at the University of Idaho and the Advanced Management Program at Harvard University. Ridge has been involved in the communities where he's lived. He served on the Chancellors Advisory Council for Washington State University and as a member of the Tri-City Development Council Board of Directors, March of Dimes Board, United Way Board and former Chairman of the Board of Columbia Industries. Ridge and his wife, Lisa, have two sons.

Christine Robinson

Christine Robinson is a CPA with more than 18 years of professional experience. Her sustainability experience includes working with clients on sustainability materiality assessments, data processes and controls, reporting, assurance, and supply chain initiatives. She has assisted companies in reporting GHG emissions consistent with the GHG Protocol and with addressing the TCFD recommendations through performing TCFD gap assessments, disclosure readiness engagements, and climate risk and opportunity analysis.

Christine's experience also includes an assignment to the SASB where she assisted with their market

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adoption initiatives. She holds SASB's Fundamentals of Sustainability Accounting (FSA) credential, designed to help professionals understand the link between material sustainability information and financial performance. Christine is Deloitte's representative on the Real Estate Roundtable's Sustainability Policy Advisory Committee.

Dan Romito*

Dan Romito is a consulting partner at Pickering Energy Partners focusing on quantitative ESG strategy and implementation. Over the course of his career, Dan has advised several hundred private companies, public issuers, and asset managers on how to optimize capital deployment strategies, pursue quality pools of capital and employ ESG-related directives. A substantial portion of his experience has centered on helping the Energy, Industrial, Materials and Utility space navigate ESG-focused data providers, frameworks, disclosures, and the corresponding investor landscape. His experience and research on ESG, Index/ETF Ownership and Shareholder Activism has been featured in a variety of global periodicals, including Harvard Business Review, the Harvard Law School Forum on Corporate Governance, CNBC, Bloomberg, Global Investor Magazine, and IR Magazine. Prior to Pickering, Dan spent several years at Nasdaq, where he specialized in investor analytics, issuer technology solutions and ESG implementation. During his tenure at Nasdaq as the Global Head of Investor Analytics, he developed several key technology solutions and services under Nasdaq's Corporate Platform's repertoire, including their investor behavioral analytics platform, the ESG Advisory Service, the Insight360 Analytics module, the Activist Diagnostic, Capital Deployment Scenario Analysis, and the Small Cap Investor Targeting Service. Dan received a BA from the University of Chicago, an MBA in Finance from DePaul University, is a board member on the Energy ESG Council, sits on the IPAA Capital Markets Committee and is a professor at Marquette University where he teaches ESG implementation, strategy, and certification.

Aaron Sikora

Aaron Sikora is an experienced partner who leads PwC's ESG efforts for industrial product sector companies in our Trust sector. He has more than 27 years of public accounting experience, serving large, complex SEC registrants in performing audits of internal controls over financial reporting across multiple industries. He specializes in advising clients around financial, operational and compliance risk and controls including ESG related matters. His recent ESG experiences include working with a manufacturer to develop and design their ESG framework, identify material ESG topics, and help develop and publish the company's first sustainability report. Aaron has also assisted a company to develop information governance standards and policies around ESG data and identify processes and controls for key ESG metrics. He has led several engagements to deliver limited assurance to clients over GHG emissions and advising numerous companies on the implications of the proposed SEC climate risk rule.

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Robert G. Smith III, CIMC, AIF®

President & Chief Investment Officer, Managing Partner

Bob co-founded Sage in 1996 and serves as the firm's President and Chief Investment Officer, and he leads the Investment Committee. He began his career in 1970 at Moody's Investors Services as a member of the Corporate Bond Rating Committee; he then went on to Loeb, Rhoades & Co. to cover the insurance industry in the Institutional Equity Research Department. He later worked at Merrill Lynch & Co. for 13 years in a variety of institutional research, trading and portfolio management roles in New York and London. During this period, he was assigned to the Saudi Arabian Monetary Agency as a Resident Financial Advisor in Riyadh responsible for managing the foreign reserves of the Central Bank. Bob Smith received his MBA in Finance from New York University Stern School of Business, and he is an Accredited Investment Fiduciary (AIF®) and Certified Investment Management Consultant (CIMC).

Alex Spotswood

Alex Spotswood is a Vice President at Bailard where he handles acquisitions as week as asset and portfolio management for the firms open-end, core real estate fund. In this role Alex leads new acquisitions of both existing assets and ground up development in the southeast, while also overseeing the full management lifecycle of existing properties including capital renovations, leasing, financing and dispositions. Bailard's real estate fund invests throughout the US with a focus on multifamily, industrial, office and retail property types. Prior to Bailard Alex held positions at Eastdil Secured on their debt capital markets team and with SunTrust's Institutional Investment Solutions group. Alex received his undergraduate degree from the University of Virginia and his MBA from the University of North Carolina.

Erin M. Stanek, CPA

Vice President – Research Manager

Erin Stanek is the Research Manager for the Private Asset Management team at Baird. Erin leads the research team and specializes in portfolio construction, private investments, and economic, market, and asset class research. Prior to Baird, Erin was an Audit Manager at Deloitte & Touche LLP, where she managed engagements supporting SEC and regulatory requirements primarily for publicly traded clients. Erin holds a B.S. in Business Administration degree in Accounting and a Master of Science in Accountancy from Marquette University and is a CPA and a member of the AICPA and WICPA. Erin is involved in her community and serves on the Marquette Business Alumni Association, the Wauwatosa Public Library Foundation Board, and the Divine Savior Holy Angels High School Alumnae Association.

PANELIST & SPEAKER BIOGRAPHIES

Neil Stewart

Director of Corporate Outreach, IFRS Foundation (ISSB)

Neil Stewart is the New York-based Director of Corporate Outreach for the IFRS Foundation, which houses the International Accounting Standards Board (IASB), the newly created International Sustainability Standards Board (ISSB), the SASB Standards and the Integrated Reporting Framework. Neil joined SASB in 2020, bringing more than 25 years of experience in and around investor relations and corporate governance. Previously at Citigroup as VP IR Advisory on the ADR team, helping non-US issuers develop IR and ESG strategies, Neil is a board member of NIRI NY, the New York chapter of the National Investor Relations Institute.

Michael D. Underhill

Portfolio Manager for the Cantor Fitzgerald Sustainable Infrastructure Fund with 30 years of professional experience.

- Oversees overall portfolio construction and implementation
- Prior Experience: AllianceBernstein; INVESCO; Janus; Shearson Lehman
- Education: BS, Pennsylvania State University; Pepperdine University; Especialización En Comercio Internacional, Universidad del Salvador; Stanford Law School
- Chairman Emeritus of the UNPRI Infrastructure Work Stream
- Editor, The Handbook of Infrastructure Investing
- Professor Emeritus Ecole des Hautes Etudes Commerciales du Nord (EDHEC), Alternative Investments

Mike Wallace

SVP, Strategic Market Engagement

Mike Wallace is the Senior Vice President of Strategic Market Engagement at Persefoni. He is an internationally recognized expert with nearly 30 years of experience in sustainability, ESG reporting/compliance, and managing social and human capital. Prior to joining Persefoni, Wallace was a partner at ERM and a director for the Global Reporting Initiative (GRI).

Jennica Webster

Jennica Webster is the Director of Marquette University's Institute for Women's Leadership and an Associate Professor in the Department of Management. Her research focuses on the types of workplace relationships, climates, and processes that impact the experiences, health and well-being of women and historically marginalized groups. This research has been published in outlets such as the Journal of Applied Psychology, Harvard Business Review, Human Resource Management, and Psychology of Women Quarterly, and recognized and supported by the National Science Foundation (NSF). In addition to her scholarship, she has provided consulting services to organizations on issues related to diversity, equity, and inclusion, employee engagement, and well-being.

PANELIST & SPEAKER BIOGRAPHIES

Glen K. Yelton
VP and Head of Impact Research, SNW Asset Management
Glen K. Yelton joined SNW Asset Management in 2015 as the Head of Impact Research. Over the past decade and a half Glen has been heavily involved in the ESG/Impact investing industry, spending the last 10 years managing the ESG research program at IW Financial. Prior to that experience, Glen oversaw the development and operation of a registered investment advisor's research program focusing on ESG data collection. Glen has provided competitive intelligence research for a variety of Fortune 100 clients in a wide range of industries including consumer products, automotive and technology. He also served as an interrogator in the United States Army and as a key participant in the development of several business intelligence and corporate information database-driven software products. Mr. Yelton received his B.S. degree from East Tennessee State University.

***** *Marquette University ESG Executive Education Faculty*

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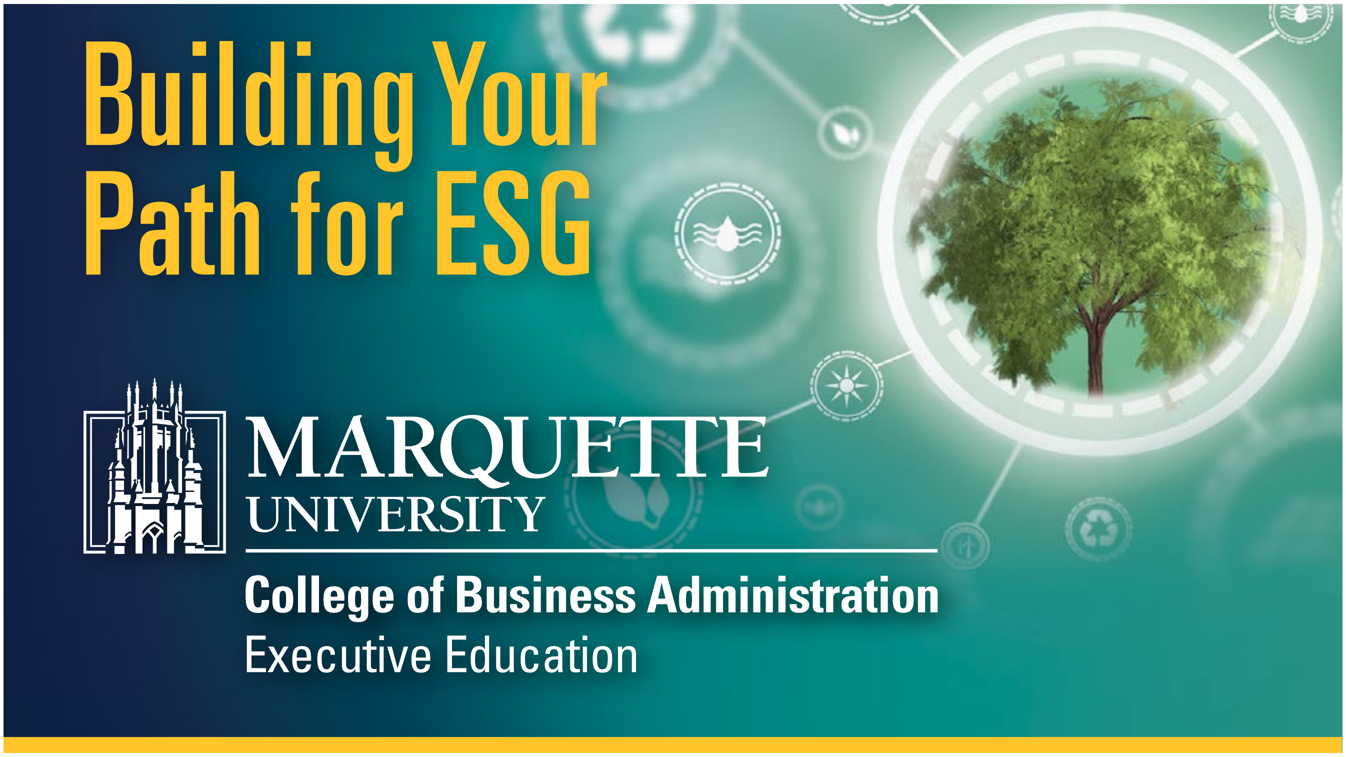

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