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ACADEMIC EMPLOYMENT

Chair, Department of Finance	Marquette University, 2017 to present
Associate Professor of Finance	Marquette University, 2013 to present
Assistant Professor of Finance	Marquette University, 2006 to 2013
Graduate Instructor	University of Missouri - Columbia, 2003 to 2006
Research Assistant	University of Missouri - Columbia, 2003 to 2006

EDUCATION

Ph.D. in Finance	University of Missouri - Columbia, 2006
M.B.A.	Iowa State University, 2002
M.S./B.S. in Chemistry	University of Torino, Italy, 1998

PEER-REVIEWED PUBLICATIONS

Compensation Clawback Policies and Corporate Lawsuits
with Nga Nguyen
Journal of Financial Regulation and Compliance, forthcoming.

Corporate Litigation and Debt
Journal of Banking and Finance, Vol. 87, 2018, pp. 202–215.

A Global Analysis of Corporate Litigation Risk and Costs
with Stephen P. Ferris
International Review of Law and Economics, Vol. 56, 2018, pp. 28-41.

Investment Bank Expertise in Cross-Border Mergers and Acquisitions
with Michaël Dewally
Journal of Financial Research, Vol. 40, 2017, pp. 81–112.

A Survey of Litigation in Corporate Finance
with Stephen P. Ferris
Managerial Finance, Vol. 43, 2017, pp. 4–18.

Territorial Tax System Reform and Corporate Financial Policies
with George Kutner
Review of Financial Studies, Vol. 28, 2015, pp. 2250-2280.

The Effects of Securities Class Action Litigation on Corporate Liquidity and Investment Policy
with Brandon Julio
Journal of Financial and Quantitative Analysis, Vol. 50, 2015, pp. 251-275.

The Discretionary Effect of CEOs and Board Chairs on Corporate Governance Structures
with Marcus Braga-Alves
Journal of Empirical Finance, Vol. 21, 2013, pp. 121-131.

Firm Location and Corporate Debt
with Michaël Dewally
Journal of Banking and Finance, Vol.36, 2012, pp. 1079-1092.

It Takes Two: The Incidence and Effectiveness of Co-CEOs
with Stephen P. Ferris and Emre Unlu
The Financial Review, Vol. 46, 2011, pp. 383-410.
Outstanding Publication Award, *The Financial Review*, 2012.

The Corporate Choice between Public Debt, Bank Loans, Traditional Private Debt Placements,
and 144A Debt Issues
Review of Quantitative Finance and Accounting, Vol. 36, 2011, pp. 391-416.

The Effect of Taxes on Multinational Debt Location
with Andrew H. Roper
Journal of Corporate Finance, Vol. 16, 2010, pp. 637-654.

Takeover Exposure, Agency, and the Choice between Private and Public Debt
with John S. Howe
Journal of Financial Research, Vol. 32, 2009, pp. 199-230.

Price Momentum and Idiosyncratic Volatility
with K. Stephen Haggard and Xuemin (Sterling) Yan
The Financial Review, Vol. 43, 2008, pp. 159-190, (leading article).
(Abstracted in *CFA Digest*, Vol. 38(4), 2008, pp. 51-52).

A Face Can Launch a Thousand Shares (and a 0.80% abnormal return)
with John S. Howe
Journal of Behavioral Finance, Vol. 9, 2008, pp. 107-116, (leading article).

When Managers Bypass Shareholder Approval of Board Appointments: Evidence from the
Private Security Market
with Stephen P. Ferris
Journal of Corporate Finance, Vol. 13, 2007, pp. 485-510.

WORKING PAPERS

Litigation Risk Management through Payout Policy
with Brandon Julio

Flight or Flee: Outside Director Departures Prior to Contested Management Buyout Offers
with Michaël Dewally and Sarah Peck

Securities Litigation and Corporate Tax Avoidance
with Bin Wang and Rong Yang

How to Develop Successful and Ethical Investment Analysts: Marquette University's Applied
Investment Management Program
with David Krause

Family Firms and Cross-Border Mergers
with Michaël Dewally, Bharat Jain, and Yingying Shao

PEER-REVIEWED PRESENTATIONS

Securities Litigation and Corporate Tax Avoidance

- American Accounting Association – Annual Meeting (Washington DC), August 2018
- Hawai'i Accounting Research Conference, January 2018

Are compensation clawback measures preventing corporate lawsuits?

- Financial Management Association – Annual Meeting (Boston), October 2017

Investment Bank Expertise in Cross-Border Mergers and Acquisitions

- Financial Management Association – Annual Meeting (Las Vegas), October 2016

Litigation Risk and Corporate Financial Policies around the World

- Financial Management Association – Annual Meeting (Orlando), October 2015

Litigation Risk Management through Payout Policy

- Financial Management Association – Annual Meeting (Nashville), October 2014

Territorial Tax System Reform and Corporate Financial Policies

- Financial Management Association – Annual Meeting (Chicago), October 2013

The Discretionary Effect of CEOs and Board Chairs on Corporate Governance Structures
(with Marcus Braga-Alves)

- Financial Management Association – Annual Meeting (Atlanta), October 2012
- Midwest Finance Association – Annual Meeting (New Orleans), February 2012

Litigation Risk, Cash Holdings, and Corporate Investment
(with Brandon Julio)

- Oklahoma Risk Management Conference – University of Oklahoma, May 2011

Litigation Risk and Corporate Cash Holdings
(with Brandon Julio)

- Financial Management Association – Annual Meeting (New York), October 2010

Firm Location and Corporate Debt
(with Michael Dewally)

- Financial Management Association – Annual Meeting (New York), October 2010

Multinational Firms and Debt Location
(with Andrew H. Roper)

- Financial Management Association – European Meeting (Turin, Italy), June 2009
- Financial Management Association – Annual Meeting (Grapevine, Dallas), October 2008

It Takes Two: The Incidence and Effectiveness of co-CEOs
(with Stephen P. Ferris and Emre Unlu)

- Financial Management Association – Annual Meeting (Grapevine, Dallas), October 2008

Takeover Exposure, Agency, and the Choice between Private and Public Debt (with John S. Howe)

- Financial Management Association – Annual Meeting (Orlando), October 2007
“*Top-Ten Percent*” Session (paper was ranked in the top 10% of all submitted papers)

When Managers Bypass Shareholder Approval of Board Appointments: Evidence from the Private Security Market (with Stephen P. Ferris)

- Journal of Corporate Finance Special Issue Conference on Private Equity, Buyouts, and Corporate Governance – Albany, NY, April 2006

Does Your Vote Count? When Managers Bypass Shareholder Approval of Board Appointments
(with Stephen P. Ferris)

- Financial Management Association – European Meeting (Siena, Italy), June 2005
- Financial Management Association – Annual Meeting (Chicago), October 2005

Price Momentum and Idiosyncratic Volatility (with K. Stephen Haggard and Xuemin (Sterling) Yan)

- Financial Management Association – European Meeting (Siena, Italy), June 2005
- Financial Management Association – Annual Meeting (Chicago), October 2005

RESEARCH HONORS AND GRANTS

The Financial Review, Outstanding Publication Award, February 2012

“It Takes Two: The Incidence and Effectiveness of co-CEOs” was selected as best paper published by the *Financial Review* in 2011

CEO Daily Best Recent Article on Leadership and Management, February 2011

“It Takes Two: The Incidence and Effectiveness of co-CEOs”

College of Business Miles Competitive Summer Research Grant, 2016, Marquette University
College of Business Miles Competitive Summer Research Grant, 2015, Marquette University
College of Business Miles Competitive Summer Research Grant, 2014, Marquette University
College of Business Miles Competitive Summer Research Grant, 2013, Marquette University
College of Business Miles Competitive Summer Research Grant, 2012, Marquette University
College of Business Miles Competitive Summer Research Grant, 2011, Marquette University
College of Business Miles Competitive Summer Research Grant, 2010, Marquette University
College of Business Research Mini-Grant, 2019, 2009, 2008, 2007, 2006, Marquette University
College of Business Summer Research Fellowship, 2004, University of Missouri-Columbia

TEACHING EXPERIENCE

Advanced Financial Management – undergraduate, 2004-present
Investments – undergraduate, 2017
Financial Management – MBA, 2008-2016
Survey of Business Finance (Intro to Finance for Business minors) – undergraduate, 2006
Financial Markets and Institutions – undergraduate, 2003

TEACHING AWARDS

Department of Finance Outstanding Graduate Instructor, University of Missouri-Columbia, 2005
Preparing Future Faculty (PFF) Fellowship, 2005

DIRECTED STUDENT LEARNING

PhD Dissertation Committee, PhD in Finance, Anna Abdulmanova, 2017-2018
Master's Thesis Committee Member, MS in Applied Economics, Adam Ward, November 2013
Master's Thesis Committee Member, MS in Applied Economics, Peter Hansen, April 2012
Master's Thesis Committee Member, MS in Applied Economics, Casey Madsen, April 2010

PROFESSIONAL SERVICE (EXTERNAL)

Associate Editor – Journal of Financial Regulation and Compliance, 2017-present
Board Member– Financial Executive International – Milwaukee Chapter, 2014-present
Editorial Advisory Board Member – Journal of Financial Regulation and Compliance, 2016-present

Editor – Managerial Finance Special Issue on Litigation in Modern Corporate Finance, 2015-2017

Secretary – Financial Executive International – Milwaukee Chapter, 2015-2017

Vice-President of Programs – Financial Executive International – Milwaukee Chapter, 2014-2015

Referee – Journal of Financial and Quantitative Analysis, Journal of Banking and Finance (8), Journal of Corporate Finance (3), The Financial Review (3), Journal of Business Venturing (2), International Tax and Public Finance (2), Managerial Finance (3), International Review of Economics and Finance (2), Emerging Market Review (2), Journal of Empirical Legal Studies (2), Research in International Business and Finance (2), Journal of International Money and Finance, Journal of Multinational Financial Management, International Review of Finance, International Journal of Business and Economics

Selection Committee Member – Financial Management Association Annual Meeting, 2010, 2007

Session Organizer – Financial Management Association Annual Meeting, 2010

Session Chair – Financial Management Association, Annual Meeting, 2013, 2010, 2009, 2007; Midwest Finance Association, Annual Meeting, 2012; Financial Management Association, European Meeting, 2009, 2005

Discussant – Financial Management Association, Annual Meeting, 2014, 2004; Midwest Finance Association, Annual Meeting, 2012; Financial Management Association, European Meeting, 2009

PROFESSIONAL SERVICE (INTERNAL)

Marquette University

Member, University Electronic Accessibility Committee, 2017-present

Member, Academic Integrity Council, 2015-2017

College of Business and Administration, Marquette University

Member, Executive Council, 2017-present, 2013-2014

Chair, Faculty Research Committee, 2016-2017

Member, Faculty Research Committee, 2012-2017

Member, Tenure and Promotion Committee 2014-2017

WRDS Database Representative, 2007-present

Member, Dean Search Committee, 2013, 2007-2008

Member, MBA Committee, 2009-2013

Member, *Ad Hoc* Research Award Committee, 2012

Member, COBA Research Task Force, 2010-2011

Member, IT Committee, 2007-2009

Finance Department, Marquette University

Finance Department Library Representative, 2007-present

Finance Department Career Liaison 2012-2017

Finance Program Assessment Leader, 2015-2017, 2009-2012

Member, Finance Department Recruiting Committee, 2018, 2015, 2014, 2009, 2007, 2006

Finance Department Internship Director 2010-2012

MEDIA CONTRIBUTIONS

Newspaper

Washington Post (November 3, 2016)

Wall Street Journal (September 20, 2014)

Milwaukee Journal Sentinel (5) (May 10, 2018, April 18, 2018, February 18, 2015, January 13, 2013, October 22, 2011)

Milwaukee Business Journal (December 9, 2011)

Associated Press (August 26, 2010)

Radio

NPR Marketplace (7) (October 23, 2018; October 15, 2018; July 17, 2018; February 5, 2018; February 17, 2017; January 14, 2015; April 22, 2014).

TV

CBS 58 (2) (April 4, 2018, October 13, 2015)

Fox 6 (January 26, 2016)

ABC WISN 12 (October 13, 2015)

Magazine

BizTimes (April 18, 2016)

Workforce Magazine (November 28, 2011)

PUBLICATIONS (other disciplines)

Rapid, Low Level Determination of Silver(I) in Drinking Water by Colorimetric Solid-Phase Extraction, *Analytica Chimica Acta*, 2003
with Marc D. Porter, and James S. Fritz

Rapid, Specific Determination of Iodine and Iodide by Combined Solid-Phase Extraction/Diffuse Reflectance Spectroscopy, Analytical Chemistry, 2002
with Marc D. Porter, and James S. Fritz

EXTRA-ACADEMIC PROFESSIONAL EXPERIENCE

Visiting scholar (chemistry)	Ames Laboratory - Iowa State University	2000–2002
Assistant Director of the Chemical Laboratory	R.C.B. ltd -Turin (Italy)	1999–2000

HONOR SOCIETIES

Beta Gamma Sigma

AFFILIATIONS

American Finance Association
Financial Executive International
Financial Management Association